



# **STIC Search Report**

## **Biotech-Chem Library**

**STIC Database Tracking Number: 187170**

**TO: Ralph J Gitomer**  
**Location: REM/3D65/3C18**  
**Art Unit: 1655**  
**Wednesday, April 26, 2006**  
**Case Serial Number: 10/644617**

**From: Karen Catlin**  
**Location: Biotech-Chem Library**  
**REM-1D58**  
**Phone: 2-2520**

**Karen.Catlin@uspto.gov**

### **Search Notes**

Examiner Gitomer,

The results of the litigation search you requested for US Patent No. 6,171,849 are attached. The Patents file, Federal Courts and Administrative Materials file, Patent, Trademark and Copyright Periodicals file, and All News file were searched using Lexis. The Patent files in Questel-Orbit were also searched. LexisNexis CourtLink system search resulted in two dockets found (also attached).

If you have any questions about this search feel free to contact me.

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Karen Catlin  
Reference Librarian (ASRC Aerospace)  
STIC Biotech/Chem Library  
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patno=6171849

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Syntax	Def
<a href="#">and</a>	and
<a href="#">or</a>	or
<a href="#">w/N</a>	withi
<a href="#">not w/N</a>	not i
<a href="#">pre /N</a>	prec
<a href="#">w/p</a>	in s
<a href="#">not w/p</a>	not i
<a href="#">w/seg</a>	in s
<a href="#">not w/seg</a>	not i
<a href="#">w/s</a>	in s
<a href="#">not w/s</a>	not i
<a href="#">and not</a>	and
	<a href="#">View</a>

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109C43

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Number of Lines: 35

Job Number: 1861:95870008

Client ID/Project Name: Gitomer

Research Information:

Utility, Design and Plant Patents  
patno=6171849

Send to: CATLIN, KAREN  
USPTO  
600 DULANY ST  
ALEXANDRIA, VA 22314-5782



1 of 1 DOCUMENT

UNITED STATES PATENT AND TRADEMARK OFFICE GRANTED PATENT

**6171849**

[Link to Claims Section](#)

January 9, 2001

Method for the determination of HDL cholesterol by means of a rapid diagnostic agent  
with an integrated fractionating step

**REISSUE:** August 20, 2003 - Reissue Application filed Ex. Gp.: 1744; Re. S.N. 10/644,617 (O.G. November 11, 2003)

**INVENTOR:** Rittersdorf, Walter - Mannheim, Germany (DE); Deneke, Ulfert - Rimbach-Zotzenbach, Germany (DE); Hiller, Gerhard - Mannheim, Germany (DE); Merdes, Hartmut - Heidelberg, Germany (DE); Buecker, Klaus - Viernheim, Germany (DE); Goebbert, Uwe - Mannheim, Germany (DE)

**CERT-CORRECTION:** October 23, 2001 - a Certificate of Correction was issued for this patent (O.G. October 23, 2001)

**APPL-NO:** 481664 (09)

**FILED-DATE:** January 12, 2000

**GRANTED-DATE:** January 9, 2001

**ASSIGNEE-AT-ISSUE:** Roche Diagnostics GmbH, Mannheim, Germany (DE), 03



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**Syntax Del**

and	and
or	or
w/N	withi
not w/N	not v
pre /N	prec
w/p	in s
not w/p	not i
w/seg	in s
not w/seg	not i
w/s	in s
not w/s	not i
and not	and
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Syntax	Def
<u>and</u>	and
<u>or</u>	or
<u>w/N</u>	with
<u>not w/N</u>	not
<u>pre /N</u>	prec
<u>w/p</u>	in s
<u>not w/p</u>	not i
<u>w/seg</u>	in s
<u>not w/seg</u>	not i
<u>w/s</u>	in s
<u>not w/s</u>	not i
<u>and not</u>	and
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**Syntax Del**

<u>and</u>	and
<u>or</u>	or
<u>w/N</u>	with
<u>not w/N</u>	not
<u>pre /N</u>	prec
<u>w/p</u>	in se
<u>not w/p</u>	not i
<u>w/seg</u>	in se
<u>not w/seg</u>	not i
<u>w/s</u>	in se
<u>not w/s</u>	not i
<u>and not</u>	and
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Patent Number :

XP006171849 A 0 [XP6171849]

Application Nbr :

XP006171849 0

EPO Dutch Class :

123XP

Publication Stage :

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Update Code :

2001-50

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Family Accession Nbr :

20042762931900

Patent Number :

US6171849 B1 20010109 [US6171849]

Title :

Method for the determination of HDL cholesterol by means of a rapid diagnostic agent with an integrated fractionating step

Patent Assignee :

ROCHE DIAGNOSTICS GMBH

Orig. Patent Assignee :

Roche Diagnostics GmbH, Mannheim [DE]

Inventor(s) :

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Application Nbr :

2000US-0481664 20000112

Filing Details :

(US6171849)

Divsn of US114361 19980714 [1998US-0114361]

Cont. of US661833 19960611 [1996US-0661833]

Continuation of: US5786164

Priority Details :

1989DE-3929032 19890901; 1996US-0661833 19960611; 1998US-0114361 19980714; 2000US-0481664 20000112



1 / 1 LGST - ©EPO

**Patent Number :**

US6171849 B1 20010109 [US6171849]

**Application Number :**

US48166400 20000112 [2000US-0481664]

**Action Taken :**

20011023 US/CC-A  
CERTIFICATE OF CORRECTION

20031111 US/RF-A  
REISSUE APPLICATION FILED  
EFFECTIVE DATE: 20030820

**Update Code :**

2003-48

1 / 1 CRXX - ©CLAIMS/RRX

**Patent Number :**

6,171,849 A 20010109 [US6171849]

**Patent Assignee :**

Roche Diagnostics GmbH DE

**Actions :**

20030820 REISSUE REQUESTED  
ISSUE DATE OF O.G.: 20031111  
REISSUE REQUEST NUMBER: 10/644617  
EXAMINATION GROUP RESPONSIBLE FOR REISSUEPROCESS: 1744

Reissue Patent Number:

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**Family Accession Nbr :**

20042704588664

**Patent Number :**

XP006171849 A 0 [XP6171849]

**Application Nbr :**

XP006171849 0

**Dutch Class :**

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<input checked="" type="checkbox"/>	Roche Diagnostics Corporation et al v. Polymer Technology Systems, Inc	US-DIS-INSO	<a href="#">1:03cv848</a>	6/9/200
<input checked="" type="checkbox"/>	Roche Diagnostics Corporation et al v. Cholestech Corporation	US-DIS-INSO	<a href="#">1:03cv303</a>	3/3/200

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## US District Court Civil Docket

U.S. District - Indiana Southern  
(Indianapolis)

1:03cv303

### Roche Diagnostics Corporation et al v. Cholestech Corporation

This case was retrieved from the court on Wednesday, April 26, 2006

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Date Filed:	03/03/2003	Class Code:	CLOSED, CMP
Assigned To:	Judge Larry J McKinney	Closed:	Yes
Referred To:	Magistrate Judge William T Lawrence	Statute:	35:271
Nature of suit:	Patent (830)	Jury Demand:	Defendant
Cause:	Patent Infringement	Demand Amount:	\$0
Lead Docket:	None	NOS Description:	Patent
Other Docket:	None		
Jurisdiction:	Federal Question		

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Plaintiff

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Fax: (317) 237-3900  
Email: Jtragesser@locke.com

Roche Diagnostics Corporation  
Other

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Roche Diagnostics GmbH  
Other

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USA  
(317)237-1283  
Fax: (317)237-1000  
Email: Jrschaib@bakerd.com

Date	#	Proceeding Text
03/03/2003	1	COMPLAINT against CHOLESTECH CORPORATION , filed by ROCHE DIAGNOSTICS CORPORATION, ROCHE DIAGNOSTICS GMBH. (Attachments: # 1 Exhibit A# 2 Exhibit B# 3 Exhibit C)(Kittell, Mike) (Entered: 03/04/2003)



03/03/2003	2	RECEIPT #107 466 in the amount of \$ 150.00 (Kittell, Mike) (Entered: 03/04/2003)
03/03/2003	3	CIVIL COVER SHEET by ROCHE DIAGNOSTICS CORPORATION, ROCHE DIAGNOSTICS GMBH. (Kittell, Mike) (Entered: 03/04/2003)
03/03/2003	4	MAGISTRATE JUDGE's NOTICE of Availability to Exercise Jurisdiction (Kittell, Mike) (Entered: 03/04/2003)
06/24/2003	5	Summons Issued as to Deft CHOLESTECH CORPORATION. (YOL, ) (Entered: 06/25/2003)
06/26/2003	6	Summons Issued as to CHOLESTECH CORPORATION. (EAH, ) Additional attachment(s) added on 7/1/2003 (EAH, ). (Entered: 06/30/2003)
07/01/2003	7	NOTICE of Appearance by Stacy L. Prall on behalf of ROCHE DIAGNOSTICS CORPORATION, ROCHE DIAGNOSTICS GMBH (Prall, Stacy) (Entered: 07/01/2003)
07/01/2003	8	NOTICE by ROCHE DIAGNOSTICS CORPORATION, ROCHE DIAGNOSTICS GMBH of Appearance of Brent Allen Harris (Prall, Stacy) (Entered: 07/01/2003)
07/01/2003	9	RETURN of Personal Service by ROCHE DIAGNOSTICS CORPORATION, ROCHE DIAGNOSTICS GMBH. CHOLESTECH CORPORATION served on 6/30/2003. (Prall, Stacy) (Entered: 07/01/2003)
07/01/2003	10	CORPORATE DISCLOSURE STATEMENT by ROCHE DIAGNOSTICS CORPORATION, ROCHE DIAGNOSTICS GMBH. (Prall, Stacy) (Entered: 07/01/2003)
07/03/2003	11	NOTICE of Manual Filing by all Plaintiffs. (Schaibley, John) Modified on 7/3/2003 (Carpentier, Wendy). (Entered: 07/03/2003)
07/03/2003	12	MOTION for leave to appear pro hac vice. c/s by Pltfs ROCHE DIAGNOSTICS CORPORATION and ROCHE DIAGNOSTICS GMBH. (Attachments: # 1 Text of Proposed Order)(YOL, ) (Entered: 07/09/2003)
07/03/2003	13	RECEIPT #101-745 in the amount of \$ 90.00 for pro hac vice motion.12 (YOL, ) (Entered: 07/09/2003)
07/16/2003	14	ORDER granting 12 Motion for Daniel A. Boehnen, Grantland C. Drutchas and James C. Gumina Leave to Appear Pro Hac Vice. Signed by Judge William T. Lawrence on 7/16/03. (JHO, ) (Entered: 07/16/2003)
07/21/2003	15	NOTICE of Appearance by Joel E. Tragesser on behalf of CHOLESTECH CORPORATION (Tragesser, Joel) (Entered: 07/21/2003)
07/21/2003	16	ANSWER to Complaint with Jury Demand, COUNTERCLAIM against all plaintiffs by CHOLESTECH CORPORATION.(Tragesser, Joel) (Entered: 07/21/2003)
07/21/2003	17	CORPORATE DISCLOSURE STATEMENT by CHOLESTECH CORPORATION. (Tragesser, Joel) (Entered: 07/21/2003)
07/21/2003	18	NOTICE of Appearance by James Dimos on behalf of CHOLESTECH CORPORATION (Dimos, James) (Entered: 07/21/2003)
07/22/2003	19	SCHEDULING ORDER: Initial Pretrial Conference set for 8/19/2003 08:30 AM in room #277 before Judge William T. Lawrence. The parties shall file the CMP no less than seven days prior to the pretrial conference. Signed by Judge William T. Lawrence on 07/22/2002. c/m WTL (TMA) (Entered: 07/22/2003)
07/23/2003	20	MOTION to Appear pro hac vice (Michael A. Ladra) by CHOLESTECH CORPORATION. (Attachments: # 1 Text of Proposed Order)(Tragesser, Joel) (Entered: 07/23/2003)
07/23/2003	21	MOTION to Appear pro hac vice (Irwin R. Gross) by CHOLESTECH CORPORATION. (Attachments: # 1 Text of Proposed Order)(Tragesser, Joel) (Entered: 07/23/2003)
07/23/2003	22	MOTION to Appear pro hac vice (Roger J. Chin) by CHOLESTECH CORPORATION. (Attachments: # 1 Text of Proposed Order)(Tragesser, Joel) (Entered: 07/23/2003)
07/24/2003	23	RECEIPT #107-845 in the amount of \$ 90.00 for motions to admit pro hac vice of Michael Ladra, Irwin Gross, Roger Chin. (YOL, ) (Entered: 07/25/2003)
07/25/2003	24	ORDER granting 20 Motion for Limited Admission for Michael A. Ladra of the law firm Wilson Sonsini Goodrich & Rosati on behalf of Cholestech Corporation. Signed by Judge William Lawrence on 7/25/03 -cm. (EAH, ) . (Entered: 07/28/2003)



07/25/2003	25	ORDER granting 21 Motion for Limited Admission for Irwin R. Gross of the law firm Wilson Sonsini Goodrich & Rosati on behalf of Cholestech Corporation. Signed by Judge William T. Lawrence on 7/25/03 -cm. (EAH, ) (Entered: 07/28/2003)
07/25/2003	26	ORDER granting 22 Motion for Limited Admission for Roger J. Chin of the law firm Wilson Sonsini Goodrich & Rosati on behalf of Cholestech Corporation. Signed by Judge William T. Lawrence on 7/25/03 -cm. (EAH, ) (Entered: 07/28/2003)
08/07/2003	27	NOTICE by ROCHE DIAGNOSTICS CORPORATION, ROCHE DIAGNOSTICS GMBH of Appearance of Daniel A. Boehnen, Grantland C. Drutchas and James C. Gumina on their behalf (Schaibley, John) (Entered: 08/07/2003)
08/08/2003	28	ANSWER to Counterclaim by ROCHE DIAGNOSTICS CORPORATION, ROCHE DIAGNOSTICS GMBH.(Schaibley, John) (Entered: 08/08/2003)
08/11/2003	29	CASE MANAGEMENT PLAN TENDERED by all parties. (Tragesser, Joel) (Entered: 08/11/2003)
08/20/2003	30	ENTRY FOR 8/19/03: Parties appear by counsel for pretrial conference. Conference held and concluded without further order. Parties shall file Amended CMP within 7 days. Signed by Judge William T. Lawrence on 8/19/03. c/m(JHO) (Entered: 08/20/2003)
08/28/2003	31	Submission (Joint) of Amended Proposed Case Management Plan by CHOLESTECH CORPORATION, ROCHE DIAGNOSTICS CORPORATION, ROCHE DIAGNOSTICS GMBH. (Attachments: # 1 (Proposed) Amended Joint Case Management Plan)(Tragesser, Joel) (Entered: 08/28/2003)
08/29/2003	32	SCHEDULING ORDER: Settlement Conference set for 11/14/2003 09:00 AM in room #277 before Judge William T. Lawrence. Signed by Judge William T. Lawrence on 08/29/2003. c/m WTL (TMA) (Entered: 09/02/2003)
09/05/2003	33	ORDER: AMENDED JOINT CASE MANAGEMENT PLAN APPROVED AS SUBMITTED. Signed by Judge William T. Lawrence on 09/05/2003. c/m WTL (TMA) (Entered: 09/05/2003)
09/30/2003	34	NOTICE of Service of Initial Disclosures by CHOLESTECH CORPORATION (Tragesser, Joel) (Entered: 09/30/2003)
09/30/2003	35	NOTICE by ROCHE DIAGNOSTICS CORPORATION, ROCHE DIAGNOSTICS GMBH of Service of Rule 26(a)(1) Initial Disclosures (Prall, Stacy) (Entered: 09/30/2003)
11/17/2003	36	ENTRY - Parties appear in person and by counsel for settlement conference on 11/14/03. Conference held and successfully concluded. The Court has been advised that a settlement has been reached. Therefore, all pending motions, if any, are DENIED AS MOOT, and all previously ordered dates, if any, are VACATED. Within 30 days of this date, counsel for plaintiff shall file a motion to dismiss or stipulation of dismissal. Signed by Judge William T. Lawrence on 11/17/2003. c/m WTL (TMA) (Entered: 11/18/2003)
12/17/2003	37	ENTRY - The time in which the parties have to file a motion to dismiss or stipulation of dismissal is extended to 12/29/03. Signed by Judge William T. Lawrence on 12/17/2003. c/m WTL (TMA, ) (Entered: 12/17/2003)
12/31/2003	38	FINAL JUDGMENT. cm Signed by Judge Larry J. McKinney on 12/31/03. (YOL, ) (Entered: 12/31/2003)



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## US District Court Civil Docket

U.S. District - Indiana Southern  
(Indianapolis)

1:03cv848

### Roche Diagnostics Corporation et al v. Polymer Technology Systems, Inc

This case was retrieved from the court on Wednesday, April 26, 2006

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Date Filed:	06/09/2003	Class Code:	CLOSED
Assigned To:	Judge Larry J McKinney	Closed:	Yes
Referred To:	Magistrate Judge William T Lawrence	Statute:	35:271
Nature of suit:	Patent (830)	Jury Demand:	None
Cause:	Patent Infringement	Demand Amount:	\$0
Lead Docket:	None	NOS Description:	Patent
Other Docket:	None		
Jurisdiction:	Federal Question		

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#### Litigants

Roche Diagnostics Corporation  
Plaintiff

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Date	#	Proceeding Text
06/09/2003	1	COMPLAINT against POLYMER TECHNOLOGY SYSTEMS, INC. , filed by ROCHE DIAGNOSTICS CORPORATION, ROCHE DIAGNOSTICS GMBH. (Attachments: # 1 Exhibit A# 2 Exhibit B# 3 Exhibit C# 4 Exhibit D# 5 Exhibit E)(Kittell, Mike) (Entered: 06/10/2003)
06/09/2003	2	CIVIL COVER SHEET by ROCHE DIAGNOSTICS CORPORATION, ROCHE DIAGNOSTICS GMBH. (Kittell, Mike) (Entered: 06/10/2003)
06/09/2003	3	MAGISTRATE JUDGE's NOTICE of Availability to Exercise Jurisdiction (Kittell, Mike) (Entered: 06/10/2003)
06/09/2003	4	MAGISTRATE JUDGE'S Summary of CMP Notice (Kittell, Mike) (Entered: 06/10/2003)
06/09/2003	5	RECEIPT #107 647 in the amount of \$ 150.00 (Kittell, Mike) (Entered: 06/10/2003)



06/09/2003	6	NOTICE OF RELATED CASE 1:03-CV-303-LJM-WTL by ROCHE DIAGNOSTICS CORPORATION, ROCHE DIAGNOSTICS GMBH (Kittel, Mike) (Entered: 06/10/2003)
06/11/2003	--	Judge Larry J. McKinney added. Judge John Daniel Tinder no longer assigned to case. (EAH, ) (Entered: 06/11/2003)
10/01/2003	7	Summons Issued as to POLYMER TECHNOLOGY SYSTEMS, INC. (EAH, ) (Entered: 10/02/2003)
10/07/2003	8	AFFIDAVIT of Service for Summons and Complaint served on Polymer Technology Systems, Inc., c/o Robert S. Huffstodt on 10/6/03, filed by Pltf ROCHE DIAGNOSTICS CORPORATION. (YOL, ) (Entered: 10/09/2003)
10/22/2003	9	NOTICE of lack of objection to enlargement of time to respond to complaint. c/s Deft POLYMER TECHNOLOGY SYSTEMS, INC. (YOL, ) (Entered: 10/23/2003)
11/25/2003	10	JOINT MOTION for Extension of Time to respond to complaint. All Parties(YOL, ) (Entered: 11/25/2003)
11/26/2003	11	ORDER granting 10 joint Motion for Extension of Time, thru 12/29/03, for defendant to respond to complaint. Signed by Judge William T. Lawrence on 11/26/2003. c/m WTL (TMA) (Entered: 12/01/2003)
12/24/2003	12	NOTICE of Appearance by attorney Michael D. Beck on behalf of POLYMER TECHNOLOGY SYSTEMS, INC. (LSC, ) (Entered: 12/24/2003)
12/29/2003	13	CLOSED JUDGMENT by a settlement agreement. All other claims, counterclaims, defenses and requests for relief of any kind, or by any party pertaining to the causes of action in this case are hereby dismissed with prejudice. Each party shall pay its own costs, expenses and attorney fees. Signed by Judge John Daniel Tinder on 12/29/03 -cm. (EAH, ) (Entered: 12/29/2003)